

Attorney's Docket No. 498-128 CPA/CON

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PATENT APPLICATION Commissioner for Patents

Washington, D.C. 20231



NONPROVISIONAL PATENT APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of:

First Named Inventor (or Inventors):

PATNAIK, Birendra K., a citizen of the United States of America 13 South Gables Drive, Chester, NJ 07930

LIN, Horng-Ban, a citizen of the United States of America 9 Willow Drive, Randolph, NJ 07869

LENTZ, David J., a citizen of the United States of America 23 Timber Lane, Randolph, NJ 07860

ZDRAHALA, Richard, a citizen of the United States of America 39 Cobblestone Terrace, Montville, NJ 07045

Title of Application:

EPTFE SMALL CALIBER VASCULAR GRAFTS WITH SIGNIFICANT PATENCY ENHANCEMENT VIA A SURFACE COATING WHICH CONTAINS **COVALENTLY BONDED HEPARIN**

1. Type of Application (37 C.F.R. 1.53(b))

This application is a(n):

CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, June 20, 2001, in an envelope as "Express Mail to Addressee" Mailing Label Number <u>ET340223534US</u>, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Barbara Kemmlein

Name of person mailing paper

Signature of person mailing paper

(Application Transmittal Page 1 of 6)

12 ill. 12

| | | Original (nonprovisional) application. | | | | | | | |
|----|---|---|-------------------------------|--|--|--|--|--|--|
| | \boxtimes | Continuing application: | | | | | | | |
| | | ☐ Divisional ☐ Continuation ☐ Continuation-in- | Part (CIP) | | | | | | |
| | | of Serial No. <u>08/713,800</u> , filed on <u>September 13, 1996</u> . | | | | | | | |
| 2. | Bene | efit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120, or 121) | | | | | | | |
| | \boxtimes | This new application claims the benefit of prior U.S. application(s). | | | | | | | |
| | | Please amend the specification by inserting, after the title, the following: | | | | | | | |
| | | "This application claims the benefit of U.S. Provisional Application No, filed on" | | | | | | | |
| | | "This application is a continuation continuation-in-part divisional of copending application Serial No, filed on" International Application No, filed on designated the U.S." | _, and which | | | | | | |
| | | A Preliminary Amendment is enclosed amending this application to state the relation of this application to prior applications. | | | | | | | |
| | | The relation of this application to prior applications is stated in the application. | | | | | | | |
| 3. | 35 U.S.C. 119(a)-(d) or 35 U.S.C. 365(a)-(b) Foreign Priority Claim for Prior Application | | | | | | | | |
| | Appli | application, and prior U.S. application(s), including any prior Internation designating the U.S., identified above in item 2, claim(s) price foreign applications as follows: | | | | | | | |
| | (Coun | intry) (Application No.) | (Filing Date) (mm/dd/yyyy) | | | | | | |
| | | | | | | | | | |
| | | , , , , , , , , , , , , , , , , , , , | · | | | | | | |
| | | Please amend the specification by inserting, after the title | e, the following: | | | | | | |
| | | "The application claims priority from Application N | lo filed or | | | | | | |

| | Certifi | ed copy(les) of the application(s) from which priority under 35 U.S.C. 119 is d: | | | | |
|----|-----------------|--|--|--|--|--|
| | | has(have) been filed on, in prior application, which was filed on, is (are) enclosed. will follow. | | | | |
| 4. | Enclo 1.53(b | sed Papers Required to Obtain Application Filing Date under 37 C.F.R. | | | | |
| | 26 3 1 | Pages of Specification Pages of Claims Pages of Abstract Sheets of Drawings | | | | |
| 5. | Oath | or Declaration | | | | |
| | | Newly executed Oath or Declaration (original or copy) is enclosed. | | | | |
| | \boxtimes | Copy of Oath or Declaration from prior continuation or divisional application <u>08/713,800</u> (37 C.F.R. 1.63(d)). | | | | |
| | | The entire disclosure of the prior application, from which a copy of the oath or Declaration is supplied, is considered as being a part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | |
| | \boxtimes | A Power of Attorney is included in the Oath or Declaration. | | | | |
| | | Not enclosed. | | | | |
| 6. | Addit | ional Papers Enclosed | | | | |
| | \boxtimes | Return Receipt Postcard (specifically itemized) (M.P.E.P. § 503). | | | | |
| | | Application Data Sheet (Voluntary under 37 C.F.R. 1.76). | | | | |
| | | Preliminary Amendment. | | | | |
| | \boxtimes | Information Disclosure Statement (37 C.F.R. 1.98). | | | | |
| | | | | | | |
| | | Nucleotide and/or Amino Acid Sequence Listing computer-readable copy, paper copy, and statement verifying identity of computer-readable and paper copies. | | | | |

| | | Certifie | ed Copy | of Priority Document(s). | |
|---|-------------|-------------|--|--|--|
| | | Verifie | d transl | ation of non-English language application (37 C.F.R. 1.52(d)). | |
| | \boxtimes | Other: | Chang | e of Address. | |
| 7. | Assig | nment | | | |
| | \boxtimes | An ass | signmer | nt of the invention to Meadox Medicals, Inc. | |
| | | | is encl | osed. A separate: | |
| | | | | "Cover Sheet for Assignment (Document) Accompanying New Patent Application" is enclosed. | |
| | | | | Form PTO-1595 is enclosed. | |
| | | \boxtimes | was m 1996. | nade in prior application No. <u>08/713,800</u> , filed on <u>September 13</u> , | |
| | | | \boxtimes | A copy of the assignment (and any recordation cover sheet) is enclosed. | |
| | | | will fo | llow. | |
| | | | Not er | nclosed. | |
| 8. | Requ | est Tha | at Appli | cation Not Be Published Pursuant to 35 U.S.C. 122(b)(2) | |
| Pursuant to 35 U.S.C. 122(b)(2), Applicant(s) hereby requests to patent application not be published pursuant to 35 U.S.C. 122(Applicant hereby certifies that the invention disclosed in this a has not and will not be the subject of an application filed in an country, or under a multilateral international agreement, that republication of applications 18 months after filing of the applications. | | | cation not be published pursuant to 35 U.S.C. 122(b)(1). ereby certifies that the invention disclosed in this application will not be the subject of an application filed in another | | |

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

9. Fee Calculation (37 C.F.R. 1.16)

| Utility App | ication (37 | Basic Fee | \$710.00 | | | | |
|---|--------------------------------|-------------------|--------------------------|---------------------------------|-----------------|-----------------|------------------|
| | | FEES | FOR CL | AIMS AS FILE | ED . | | |
| Number fil | Number filed Number extra Rate | | | | | | |
| Total Clair (37 C.F.R. | | 9 | - 20 | = 0 | x \$ 18.00 | = | \$ 0.00 |
| Independe (37 C.F.R | | 4 | - 3 | = 1 | x \$80.00 | = | \$ 80.00 |
| Multiple D (37 C.F.R | ependent (. 1.16(d)) | Claims | | + \$2 | 70.00 | = | \$ 0.00 |
| | | | | Fee C | Calculation fo | or Extra Claims | \$ 80.00 |
| 10. Sr | nall Entity] Small | | | Total Fi aimed under 3 | ling Fee Cald | | \$ <u>790.00</u> |
| Filing Fee Calculation (50% of Filing Fee calculated in Item 9 above) | | | | | \$ | | |
| 11. Fe | ee Paymer | nt | | | | | |
| |] Not e | enclosed. | No filing | fee is to be p | aid at this tin | ne. | |
| | ☐ Enclosed: | | | | | | |
| | \boxtimes | Basic f | iling fee (| (Item 9 or 10 a | above) | \$ <u>79</u> | 0.00 |
| | | Fee fo \$40.00 | r recordin) (37 C.F. | ig Assignmen R. 1.21(h)) | t | \$ | |
| | | Proces \$130.0 | ssing and 00 (37 C.f | retention fee F.R. 1.53(d) a | nd 1.21(l)) | \$ | |

Total fees enclosed

\$<u>790.00</u>.

| 12. | Method of | nod of Payment of Fees | | | |
|-----|-------------|---|--|--|--|
| | ⊠ Che | eck in the amount of \$ <u>790.00</u> . | | | |
| | | arge Deposit Account No in the amount of \$ uplicate of this transmittal is enclosed. | | | |
| 13. | Authorizat | ion to Charge Additional Fees | | | |
| | by t | e Commissioner is hereby authorized to charge the following additional fees this paper and during the entire pendency of this application to Deposit count No. <u>08-2461</u> : | | | |
| | \boxtimes | 37 C.F.R. 1.16(a), (f), or (g) (filing fees) | | | |
| | \boxtimes | 37 C.F.R. 1.16(b), (c), and (d) (presentation of extra claims) | | | |
| | \boxtimes | 37 C.F.R. 1.16(e) (surcharge for filing the basic fee and/or declaration at a date later than the filing date of the application) | | | |
| | \boxtimes | 37 C.F.R. 1.17 (application processing fees) | | | |
| | A di | uplicate of this transmittal is enclosed. | | | |
| 14. | Instruction | ns as to Overpayment | | | |
| | \boxtimes | Credit Deposit Account <u>08-2461</u> . Refund. | | | |
| 15. | Correspon | ndence Address | | | |
| | Please add | dress all correspondence to: | | | |
| | | Daniel A. Scola, Jr. HOFFMANN & BARON, LLP 6900 Jericho Tumpike Syosset, New York 11791 | | | |
| | | Telephone: (973) 331-1700 Fax: (973) 331-1717 | | | |
| | | Danis A Scola, Jr. Registration No. 29,835 Attorney for Applicant(s) | | | |
| | ☐ Cus | stomer Number or Bar Code Label: | | | |

| CHANGE OF CORRESPONDENCE | Application Number | Unassigned | |
|--|------------------------|-----------------|--|
| ADDRESS (Application) | Filing Date | Herewith | |
| Address to | First Named Inventor | Patnaik et al. | |
| Commissioner for Patents Washington, DC 20231 | Group Art Unit | Unassigned | |
| | Examiner Name | Unassigned | |
| | Attorney Docket Number | 498-128 CPA/CON | |

| Please change the Correspondence Address for the above-identified application to: | | | | | |
|---|-----------------------|-------|----------|----------|-------|
| | | | | | |
| Customer Number: | | | | | ļ |
| OR | | | | | |
| X Firm or Individual Name | Daniel A. Scola, Jr. | | | | |
| Address | Hoffmann & Baron, LLP | | | | |
| Address | 6900 Jericho Turnpike | | | | |
| City | Syosset | State | New York | Zip Code | 11791 |
| County | Nassau | | | | |
| Telephone | (973) 331-1700 | | | | |
| This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124)/ | | | | | |
| I am the: | | | | | |
| Applicant. | | | | | |
| | | | | | |
| L | | | | | |

| Assignee of record of the entire interest. Certificate under 37 CFR 3.73(b) is enclosed. |
|--|
| X |
| Attorney or agent of record. |
| |

| Typed or Printed Name | Daniel A. Scola, Jr. Reg. No. 29.855 |
|-----------------------|--------------------------------------|
| Signature | tala? |
| Date | June 20, 2001 |

Express Mail CERTIFICATE

I hereby certify that on the date indicated above, I transmitted this paper or fee to the United States Patent and Trademark Office by Express Mail

Date: June 20, 2001

Barbara Kemmlein

Name